



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/894,853	06/27/2001	Vladimir Vaganov	25710-705

021971
WILSON SONSINI GOODRICH & ROSATI
650 PAGE MILL ROAD
PALO ALTO, CA 943041050

CONFIRMATION NO. 3687

FORMALITIES LETTER



OC000000006453344

Date Mailed: 08/21/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$846.
 ■ **\$846** for **47** total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1686.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/01/2001 CVORACHA 00000017 232415 09894853

01 FC:201
02 FC:203
03 FC:205

355:00 GH
65:00 GH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Vaganov
 Serial No.: 09/894,853
 Filed: June 27, 2001
 For: Optical Switch

Group No.: 2874
 Examiner: Not Assigned

Commissioner for Patents
 Washington, D.C. 20231



COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed 08/21/2001. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

POWER OF ATTORNEY

- III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

SMALL ENTITY STATUS

- IV. Applicant claims small entity status. See 37 CFR 1.27.

COMPLETION FEES

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$355.00
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$423.00
3. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$65.00
4. Assignment (See "ASSIGNMENT COVER SHEET".)	\$40.00
5. Total completion fees	\$883.00

EXTENSION OF TIME

- V. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

Fee Due: \$0

REQUEST FOR CORRECTED FILING RECEIPT

- VI. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in	Correct data
1. Applicant name and/or addresses	- Vladimir Vaganov, Los Gatos, CA
2. Entity status	- Small Entity

At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.

TOTAL FEE DUE

- VII. The total fee due is: Completion fees \$883.00

Corrected Filing Receipt Fees \$25.00

VIII.

TOTAL FEE DUE \$908.00

PAYMENT OF FEES

- IX. Charge Account No. 23-2415 (25710-705) in the amount of \$908.00.

Please charge Account No. 23-2415 (25710-705) for any fees that may be due by this paper.

10/01/2001 CVORACHA 00000017 232415 09094853

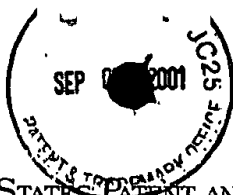
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 Customer No. 021971

Paul Davis, Reg. No. 29,294

CERTIFICATE OF MAILING BY EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service as Express Mail (Label No. EL 713 753 754 US) in an enveloped addressed to: Commissioner for Patents, Washington, D.C. 20231

Date of Deposit 9/5/01
Paul Davis
 Paul Davis



DOCKETED

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/894,853	06/27/2001	2874	0.00	25710-705	22	67	2

021971
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RECEIVED

AUG 27 2001

WILSON, SONSINI,
GOODRICH & ROSATI

CONFIRMATION NO. 3687

FILING RECEIPT



OC000000006453343

Date Mailed: 08/21/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Vladimir Vaganov, [Residence Not Provided;] -- LOS GATOS, CA --

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/214,837 06/28/2000

Foreign Applications

If Required, Foreign Filing License Granted 08/21/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

-- SMALL ENTITY --

Title

Optical switch

Preliminary Class

385